

## PATENT

I hereby certify that this correspondence is being faxed to Examiner James Zurita at the U.S. Patent and Trademark Office at (571) 273-0025 on the following date: 2/17/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FAX RECEIVED

FEB 20 2007

Applicant(s): Pienkos, John T.

Serial No.: 09/724,744

Filed: November 28, 2000

For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF INTELLECTUAL PROPERTY

Grp. Art Unit: 3625

OFFICE OF PETITIONS

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**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.114, the Applicant is submitting this Supplemental Information Disclosure Statement along with a Request for Continued Examination. The Applicant appreciates the Examiner's consideration of these documents, which are being submitted in the interests of meeting the duty of disclosure.

In particular, the enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☒ (1) Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to mailing of 1<sup>st</sup> Office Action)
- ☐ (2) Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before or on issue fee payment)
- ☐ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1<sup>st</sup> Office Action)

- ☐ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☐ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before or on issue fee payment); and
- ☐ [a] This is a petition for consideration of the subject Information Disclosure Statement. The petition fee of \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
- ☐ [b] The required Certification is stated in item 11 below.
- ☐ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☐ (14) Payment of the petition fee of \$180.00 required by 37 CFR 1.17(p) by credit card is hereby authorized. Form PTO-2038 is attached.

Respectfully submitted,

Date:

2/17/07

By:



John T. Pienkos  
(414) 228-6881

FEB 20 2007

## OFFICE OF PETITIONS PTO/SB/08b (09-08)

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Sheet

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of

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## Complete if Known

Application Number

09/724,744

Filing Date

11/28/00

First Named Inventor

JOHN T. PIENKOS

Art Unit

3625

Examiner Name

ZURITA, JAMES H.

Attorney Docket Number

## NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | -                     | web pages of Patent Value Predictor.com, obtained at <u>www.patentvaluepredictor.com</u> , dated/printed 2/17/07, 3 pgs.  |                |
|                    | -                     | "Profile: When Acacia comes Knocking", Managing Intellectual Property, dated February, 2007, printed 2/15/07, 4 pgs.  |                |
|                    | -                     | Search results page from Internet Archive Wayback Machine regarding <u>www.acaciaresearch.com</u> , obtained at <u>web.archive.org</u> on 2/17/07, 1 page.  |                |
|                    | -                     | web page of Acacia Research Corporation, supposedly dated 11/28/1999 according to Internet Archive Wayback Machine, obtained at <u>web.archive.org</u> , printed on 2/17/07, 1 pg.  |                |
|                    | -                     | web pages of University Technologies International, obtained at <u>www.uti.ca</u> , printed 2/15/07, 4 pgs.   |                |
|                    | -                     | Search results page from Internet Archive Wayback Machine regarding <u>www.uti.ca</u> , obtained at   |                |
|                    | -                     | web pages of University Technologies <u>web.archive.org</u> , Int'l. Inc., supposedly dated 1/25/1999 on 2/17/07, 1 pg.   |                |
|                    | -                     | according to Internet Archive Wayback Machine, obtained at <u>web.archive.org</u> , printed on 2/17/07, 5 pgs.  |                |

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Examiner Initials* | Cite No.† | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
|--------------------|-----------|---|----|
|                    | -         | web pages of University Technologies Int'l Inc., supposedly dated 2/18/1997 according to Internet Archive Wayback Machine, obtained at web.archive.org, printed on  |    |
|                    | -         | web pages of University Technologies Int'l Inc., supposedly dated 2/17/07, 6 pgs.   |    |
|                    | -         | 3/3/00 according to Internet Archive Wayback Machine, obtained at web.archive.org,  |    |
|                    | -         | web pages of Rembrandt IP Management LLC, printed on 2/17/07, 7 pgs.  |    |
|                    | -         | www.rembrandtfund.com, printed 2/15/07  |    |
|                    | -         | web pages of 1790 Analytics LLC, 4 pgs.   |    |
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|                    | -         | web pages of Research Corporation Technologies, obtained at www.rctech.com, printed on 2/15/07, 3 pgs.  |    |
|                    | -         | Search results page from Internet Archive Wayback Machine regarding www.rctech.com, obtained at web.archive.org on  |    |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   | Application Number   | 09/724,744       |   |
|   |   | Filing Date          | 11/28/00         |   |
|   |   | First Named Inventor | JOHN T. PIENKOS  |   |
|   |   | Art Unit             | 3625             |   |
|   |   | Examiner Name        | ZURITA, JAMES H. |   |
| Attorney Docket Number  |   |                      |                  |   |
| Sheet   | 3 | of                   | 5                |   |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | -                     | web pages of Research Corporation Technologies, supposedly dated 12/2/98 according to Internet Archive Wayback Machine, obtained at web.archive.org, printed on 2/17/07   |                |
|                                 | -                     | web pages of Research Corporation Technologies, supposedly dated 12/30/96 according to Internet Archive Wayback Machine, obtained at web.archive.org, printed on 2/17/07, 11 pgs.   |                |
|                                 | -                     | web pages of Research Corporation Technologies, supposedly dated 11/17/00 according to Internet Archive Wayback Machine, obtained at web.archive.org, printed on 2/17/07, 4 pgs.  |                |
|                                 | -                     | web pages of Research Corporation Technologies, supposedly dated 4/22/1999 according to Internet Archive Wayback Machine, obtained at web.archive.org, printed on 2/17/07, 12 pgs.  |                |
|                                 | -                     | web pages of BTG, obtained at www.btgpk.com, printed on 2/15/07, 5 pgs.   |                |
|                                 | -                     | search results page from Internet Archive Wayback Machine regarding www.btgpk.com, obtained at web.archive.org on 2/17/07   |                |

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Application Number

09/724,744

Filing Date

11/28/00

First Named Inventor

JOHN T. PIENKOS

Art Unit

3625

Examiner Name

ZURITA, JAMES H.

Attorney Docket Number

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|--------------------|-----------------------|---|----------------|
|                    | -                     | "Intellectual Property Monetization", presentation dated 2005, Russell Barron, 8 pgs.   |                |
|                    | -                     | "Latest Trends in IP Management", presentation dated 11/15/2006, Russell Barron, 12 pgs.  |                |
|                    | -                     | web pages of Solair Technology LLC, obtained at www.solair.com, printed 2/17/07,  |                |
|                    | -                     | web pages of WARF (Wisconsin Alumni Research Foundation), obtained at   |                |
|                    | -                     | web pages of Thinkfire, www.warf.org, printed on 2/16/07, 15 pgs.   |                |
|                    | -                     | www.thinkfire.com, printed on 2/17/07, 2 pgs.   |                |
|                    | -                     | home page of Inter-Digital Communications, obtained at www.interdigital.com, printed on 2/17/07, 1 pg.  |                |
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|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/724,747      |
| Filing Date            | 11/28/00        |
| First Named Inventor   | JOHN T. PIENKOS |
| Art Unit               | 3625            |
| Examiner Name          | JAMES H. ZURITA |
| Attorney Docket Number |                 |

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**U. S. PATENT DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------------------|-----------------------|---|--------------------------------|--|---|
|                                |                       | US- 6,175,824   | 2/16/2001                      | BREITZMAN ET AL.                                   |   |
|                                |                       | US-   |                                |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
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